PERSONAL INFORMATION NOTICE

Pursuant to the Federal Privacy Act (P.L. 93‑579) and the Information Practices Act of 1977 (Civil Code Sections 1798, et seq.), notice is hereby given for the request of personal information by this form. The requested personal information is voluntary. The principal purpose of the voluntary information is to facilitate the processing of this form. The failure to provide all or any part of the requested information may delay processing of this form. No disclosure of personal information will be made unless permissible under Article 6, Section 1798.24 of the IPA of 1977. Each individual has the right upon request and proper identification, to inspect all personal information in any record maintained on the individual by an identifying particular. Direct any inquiries on information maintenance to your IPA Office.

PARTNERSHIP OR CORPORATION

FINANCIAL STATEMENT OF Received at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Branch

Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Business \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ At Close of Business \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20 \_\_\_

TO:

| ASSETS | DOLLARS | CENTS | LIABILITIES | DOLLARS | CENTS |
| --- | --- | --- | --- | --- | --- |
| Cash In |  |  |  | Notes Payable to Banks |  |  |  |
| Cash on Hand |  (Name of Bank) |  |  | Notes Payable and Trade Acceptances for Merchandise |  |  |
| Notes Receivable and Trade Acceptance (Includes $\_\_\_\_\_ Past Due |  |  | Notes Payable to Others |  |  |  |
| Amounts Receivable−$\_\_\_\_\_ Less Reserves$ |  |  |  | Accounts Payable (Includes $\_\_\_\_\_ Past Due) |  |  |
|  Customer’s (Includes $\_\_\_\_\_ Past Due) |  |  | Due to Partners, Employees, Relatives, Officers, Stockholders or Allied Companies |  |  |  |
| Merchandise−Finished−How Valued |  |  |  | Chattel Mortgages and Contracts Payable (Describe Monthly Payments) $ |  |  |  |
| Merchandise−Unfinished−How Valued |  |  |  | Federal and State Income Tax |  |  |  |
| Merchandise−Raw Material−How Valued |  |  |  | Accrued Liabilities (Interest, Wages, Taxes, etc.) |  |  |  |
| Supplies on Hand |  |  |  | Portion of Long Term Debt Due Within One Year |  |  |  |
| Stocks and Bonds−Listed (See Schedule B) |  |  |  |  |  |  |
|  |  |  |  |  |  |
| TOTAL CURRENT ASSETS |  |  | TOTAL CURRENT LIABILITIES |  |  |
| Real Estate−Less Depreciation of: $\_\_\_\_\_ Net (See Schedule A) |  |  | Liens on Real Estate (See Schedule A) $\_\_\_\_\_ |  |  |
| Machinery and Fixtures− Less Depreciation of: $\_\_\_\_\_ Net |  |  | Less Current Portion Included Above $\_\_\_\_\_ Net |  |  |
| Automobiles and Trucks− |  |  |  |  |  |  |
|  Less Depreciation of: $\_\_\_\_\_ Net |  |  |  |  |  |  |
| Stocks and Bonds−Unlisted (See Schedule B) |  |  |  | Capital Stock−Preferred |  |  |  |
| Due from Partners, Employees, Relatives, |  |  |  |  |  |  |  |
|  Officers, Stockholders of Allied Companies |  |  |  | Capital Stock−Common |  |  |  |
| Cash Surrender Value of Life Insurance |  |  |  | Surplus−Paid In |  |  |  |
| Other Assets (Described) |  |  |  |  | Surplus−Earned and Undivided Profits |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  | Net Worth (If Not Incorporated) |  |  |  |
|  TOTAL |  |  |  |  TOTAL |  |  |

| PROFIT AND LOSS STATEMENTFOR THE PERIOD FROM \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ TO \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | RECONCILIATION OF NET WORTH OR EARNED |
| --- | --- |
| Net Sales (After Return Sales and Allowances) |  |  | Net Worth or Earned Surplus at Beginning of Period |  |  |
| Cost of Sales |  |  | Add Net Profit or Deduct Net Loss |  |  |  |
| Beginning Inventory |  |  |  Total |  |  |
|  Purchases (or Cost of Goods Mfg) |  |  |  | Other Additions (Describe) |  |  |  |
|   |  |  |  |  Total |  |  |
|  Total |  |  |  | Less: Withdrawals or Dividends |  |  |  |
|  Less Closing Inventory |  |  |  | Other Deductions (Explain) |  |  |  |
|  | Gross Profits on Sale |  |  |  | Total Deductions |  |  |
| Operating Expenses: |  |  |  |  Net Worth or Capital Funds on this Financial Statement |  |  |
|  |  |  |  |
|  Salaries−Officers or Partners |  |  |  | CONTINGENT LIABILITIES (Not Included Above) |
|  Salaries and Wages−Other |  |  |  | There are no lease liabilities in existence nor are there assets pledged to secure leases, except as listed in attached schedule. |
|  Rent  |  |  |  | As Guarantor or Endorser or service indebtedness orunder agreements to purchase |   |  |  |
|  Depreciation |  |  |  | Accounts, Notes, or Trade Acceptances Discounted or Pledged |  |  |
|  Bad Debts |  |  |  | Surety on Bonds or Other Contingent Liability |  |  |  |
|  Advertising |  |  |  | Letters of Credit |  |  |  |
|  Interest |  |  |  | Judgments Unsatisfied or Suits Pending |  |  |  |
|  Taxes−Other Than Income |  |  |  | Lease Liabilities |  |  |  |
|  Insurance |  |  |  | Merchandise Commitments and Unfinished Contractors orMerchandise Held on Consignment From Others |  |  |
|  Other Expenses |  |  |  | Unsatisfied Tax Liens orNotices From the Federal orState Governments ofIntention to Assess Such Liens |  |  |  |
|  |  |  |  |  |  |  |  |
| Net Profit from Operations |  |  |  | DETAIL OF INVENTORY |
|  Other Income |  |  |  | Is Inventory Figure Actual or Estimated? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  Less Other Expense |  |  |  |  |
| Net Profit Before Income Tax |  |  |  | By Whom Taken or Estimated \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ When? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  Federal and State Income Tax |  |  |  | Buy Principally From \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Net Profit or Loss |  |  |  | Average Terms of Purchase \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sale \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| (To Net Worth or Earned Surplus) |  |  | Time of Years Inventory Maximum \_\_\_\_\_\_\_\_\_\_\_\_\_ Minimum \_\_\_\_\_\_\_\_\_\_\_\_\_ |

| **SCHEDULE A** LIST OF REAL ESTATE AND IMPROVEMENTS WITH ENCUMBRANCES THEREON |
| --- |
| Description, Street Number, Location | TITLE IN | BOOK TERMS OF VALUE | MORTGAGES OR LIENS | TERMS | HOLDER OF LIEN |
| NAMES OF | LAND | IMPROVEMENTS | MATURITY | AMOUNT | PAYMENT |
|  |  |  $ |  $ |  |  $ |  $ |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  TOTALS |  |  $ |  $ |  |  $ |  $ |  |

**SCHEDULE B** STOCKS & BONDS: Describe Fully. Use Supplemental Sheet if Necessary. Indicate if Stocks

Are Common or Preferred. Give Interest Rate and Maturity of Bonds.

| NUMBER OF SHARES | NAME AND ISSUE (DESCRIBE FULLY) | BOOK VALUE | MARKET VALUE |
| --- | --- | --- | --- |
| AMOUNT OF BONDS | LISTED | UNLISTED | PRICE | VALUE |
|  |  |  $ |  $ |  |  $ |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  $ |  $ |  |  $ |

**SCHEDULE C** Complete if Statement is for an Individual or Sole Proprietorship

|  |
| --- |
| Age Number of Years in Present Business Date of Filing Fictitious Trade Style  |
| What Property Listed in This Statement is in Joint Tenancy? Name of Other Party  |
| What Property Listed in This Statement is Community Property? Name of Other Party  |
| With What Other Business Are You Connected? Have You Filed Homestead?  |
| Do You Deal With or Carry Accounts With Stockbrokers? Amount $ Name of Firm  |

**SCHEDULE D**

| NAME OF PARTNERS(Indicate Special Partners) | AGE | AMOUNTCONTRIBUTED | OUTSIDENET WORTH | OTHER BUSINESS CONNECTIONS |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| Date of Organization Limited or General? Terminates  |
| If Operating Under Fictitious Trade Style, Date of Filing  |

**SCHEDULE E** Complete if Statement is a Corporation

|  | AUTHORIZED | PARVALUE | OUTSTANDING | CASH | ISSUE FOROTHER (DESCRIBE) |
| --- | --- | --- | --- | --- | --- |
|  | SHARES | AMOUNT |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| Bonds─Total Issue $ Outstanding Due Interest Rate  |

Date Incorporated Under Laws of State of

| OFFICERS | AGE | SHARES OWNEDCOMMON **|** PREFERRED | DIRECTORS AND PRINCIPAL STOCKHOLDERS | SHARES OWNEDCOMMON **|** PREFERRED |
| --- | --- | --- | --- | --- |
| President |  |  |  |  Director |  |  |
| Vice President |  |  |  |  Director |  |  |
| Secretary |  |  |  |  Director |  |  |
| Treasurer |  |  |  |  |  |  |

 Complete in ALL Cases INSURANCE

|  |  |  |
| --- | --- | --- |
| Are Your Books Audited byOutside Accountants? \_\_\_\_\_\_\_\_\_\_ Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ To What Date Has the U.S. Internal Revenue DepartmentDate of Examined YourLast Audit \_\_\_\_\_\_\_\_\_\_ Books? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Are You Borrowing FromAny OtherBranch of This Bank? \_\_\_\_\_\_\_\_\_\_ Which? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Are You Applying for CreditAt Any Other Source? \_\_\_\_\_\_\_\_\_\_ Where? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ If So, Attach a CompleteHave You Ever Failed Explanation and State Basis ofin Business? \_\_\_\_\_\_\_\_\_\_ Settlement With Creditors Years to Run, With MonthlyLease Has \_\_\_\_\_\_\_\_\_\_ Rental of $\_\_\_\_\_ |  Merchandise \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_  Machinery & Fixtures \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_ Buildings \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_  Earthquake \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_  Is Extended Coverage Endorsement Included? \_\_\_\_\_\_\_\_\_\_  Do You Carry Workmen’s Compensation Insurance? Preferred \_\_\_\_\_ |  Automobiles and Trucks  Public Liability $\_\_\_\_\_ M/$\_\_\_\_\_ M   Collision \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_   Property Damage \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_   Life Insurance \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_  Name of Beneficiary \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  |  The undersigned solemnly declares and certifies that the above statement (or in lieu thereof, the attached statement, as the case may be) and supporting schedules, both printed and written, give a full, true, and correct statement of the financial condition of the undersigned of the date indicated. Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ By \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (TITLE, IF CORPORATION) |